

FFY 2013 - 14
Local Assistance Delivery
Formula OA Funds as of September 30, 2014

ITEM I C

Region	Actual FFY 2014 OA ¹	Adjustment to FFY 2014 OA for FFY 2013 Over - Under Delivery ²	FFY 2014 Oversight Adjustment ³	Contribution for LS&R Needs Assessment FFY 2014 ¹⁰	LS&R Needs Assessment Funding Offset	Adjusted FFY 2014 Actual OA ⁴	FFY 2014 Obligations as of 9/30/14	Percent Actual OA Delivered	FFY 2014 August Redistribution 71,306,791	Balance FFY 2014 Actual OA ⁵
Amador ⁶	799,357	478,793	(14,119)			1,264,031	808,004	63.9%	-	456,027
Butte	4,820,488	858,209	(85,486)			5,593,211	5,747,227	102.8%	30,749	(123,268)
Calaveras ⁶	956,190	1,367,751	(16,889)			2,307,052	582,347	25.2%	-	1,724,705
Fresno	23,161,050	(2,168,562)	(426,191)			20,566,298	20,543,378	99.9%	-	22,920
Imperial ⁶	3,547,408	2,185,550	(65,306)			5,667,652	5,441,941	96.0%	-	225,711
Kern	19,268,286	9,163,079	(354,419)			28,076,946	20,654,687	73.6%	-	7,422,259
Kings	3,604,397	133,627	(64,093)			3,673,931	2,745,084	74.7%	-	928,846
Los Angeles	242,509,269	(53,427,816)	(4,464,751)		12,617	184,629,319	168,525,912	91.3%	-	16,103,406
Madera	3,547,988	2,941,690	(63,095)			6,426,583	4,378,332	68.1%	-	2,048,251
Mariposa ⁶	398,547	828,712	(7,032)			1,220,227	244,493	20.0%	-	975,734
Merced	6,025,184	966,754	(107,142)			6,884,796	7,175,414	104.2%	58,020	(232,597)
Nevada ⁶	2,018,704	433,352	(35,683)			2,416,373	1,569,448	65.0%	-	846,925
Orange	74,457,031	(32,205,242)	(1,371,161)			40,880,628	60,811,734	148.8%	3,979,145	(15,951,961)
Riverside	53,960,657	10,166,610	(993,318)			63,133,949	75,374,389	119.4%	2,443,743	(9,796,698)
Sacramento (SACOG)	51,355,542	(2,402,653)	(945,036)			47,567,853 ¹¹	68,666,796	144.4%	4,212,298	(16,886,645)
San Bernardino	50,387,486	(14,089,629)	(926,549)	(12,617)		35,358,691	37,196,840	105.2%	366,977	(1,471,172)
San Diego	64,834,414	(30,623,147)	(1,193,518)			33,017,749	103,011,840	312.0%	13,973,970	(56,020,122)
San Francisco Bay Area (MTC)	145,514,503	(50,857,787)	(2,678,423)			91,978,293	191,373,314	208.1%	19,843,718	(79,551,303)
San Joaquin	17,015,603	(14,449,032)	(313,349)			2,253,222	10,976,143	487.1%	1,741,487	(6,981,434)
San Luis Obispo	5,578,564	2,115,805	(98,610)			7,595,759	3,578,350	47.1%	-	4,017,409
Stanislaus	12,769,366	(4,136,622)	(235,153)			8,397,591	12,727,863	151.6%	864,517	(3,465,754)
Tahoe	989,308	803,802	(17,592)			2,215,518 ¹¹	1,449,107	65.4%	-	766,411
Tehama ⁶	1,330,389	498,757	(23,509)			1,805,637	910,533	50.4%	-	895,104
Tulare	10,281,044	4,629,957	(185,771)			14,725,230	17,505,752	118.9%	555,117	(2,225,405)
Tuolumne ⁶	1,153,422	690,001	(20,377)			1,823,046	1,142,096	62.6%	-	680,950
Ventura	17,292,915	(1,060,326)	(318,399)			15,914,190	12,111,031	76.1%	-	3,803,159
Monterey ⁸	5,093,752	(769,285)	(87,555)			4,236,912	5,013,927	118.3%	155,127	(621,888)
Santa Barbara ⁸	5,250,593	2,525,210	(90,253)			7,685,551	5,703,316	74.2%	-	1,982,235
Exchange Counties ^{6,7,8}	16,729,508	795,210	(287,564)			17,237,154	16,340,173	94.8%	-	896,981
TOTAL LOCAL AGENCIES	844,650,966	(164,607,231)	(15,490,343)	(12,617)	12,617	664,553,391	862,309,471	129.8%	48,224,869	(149,531,211)
HBP	277,638,521	(79,267,585)	(5,042,463)			193,328,473	308,943,306	159.8%	23,081,922	(92,532,911)
Safety	61,606,922	187,704,269	(1,147,694)			248,163,497	61,517,019	24.8%	-	186,646,478
SRTS	19,767,243	47,588,019	-			67,355,262	14,335,843	21.3%	-	53,019,419
TOTAL	1,203,663,652 ¹	(8,582,528) ⁹	(21,680,500)	(12,617)	12,617	1,173,400,624	1,247,105,640	106.3%	71,306,791	(2,398,225)
FFY 2013 Formula OA Obligations YTD (9/30/13) (Total)						1,202,396,189	1,268,774,372	105.5%	57,795,655	(8,582,528)
Prior year data displayed for informational purposes										

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Information:

< This September 30, 2014 report is based on the Department's Federal Aid Data System (FADS) transactions.

< Obligations include obligations, deobligations, Federal Transit Administration (FTA) transfers, and the FFY 2013-14 RSTP Exchange in the amount of \$51,102,583.

Footnotes:

¹ The Locals' base federal fiscal year (FFY) 2013-14 (FFY 2014) Obligation Authority (OA) amount of \$1,209,110,382 is based on the Department's Federal Resources Office (FRO) State/Local apportionment and OA splits received September 2, 2014. The Local's share of the OA is further adjusted as follows: 1. Reduced by \$7,154,251 for Local Rail OA that is held by FRO, and 2. Increased net \$1,707,521 per FRO based on Federal Highway Administration transaction records.

² Balance of FFY 2013 OA (last FFY's OA balance) -- This is the amount a Region/Program must pay back if it over delivered or the amount a Region/Program will receive to "make whole" if under delivered based on the Division of Local Assistance's (DLA's) OA Management Policy. Amounts shown in parenthesis are amounts owed and positive (non-parenthesis numbers) amounts are to be repaid to Regions/Programs.

³ Required by Sections 182.6(n) and 182.7(k) of the California Streets and Highways Code. This estimated oversight amount is calculated based on the FFY 2014 advance apportionments dated November 1, 2013.

⁴ This is the FFY 2014 adjusted actual base OA, which includes adjustments for FFY 2013 OA Over or Under Delivery, the FFY 2014 Oversight Adjustment, and contribution for the LS&R Needs Assessment.

⁵ Balance of FFY 2014 actual OA per date of report -- This is the running balance of FFY 2014 actual OA. At the end of the FFY, this is the amount a Region/Program must pay back if over delivered or the amount a Region/Program will receive to "make whole" if under delivered based on DLA's OA Management Policy. Amounts shown in parenthesis are owed back and positive (non-parenthesis numbers) amounts are owed to those Regions/Programs. If OA is lost to the State due to DLA under delivery as a whole, under delivered OA may not be available to repay Regions and/or Programs.

⁶ The Exchange Counties' subtotal above excludes those rural counties receiving CMAQ beginning in FFY 2006 and later.

⁷ The prior terminology "Rural Counties" has been replaced with "Exchange Counties" to better reflect the OA usage/reporting. The Regions included in the "Exchange Counties" row only currently receive formula OA for RSTP any area funds where they qualify to exchange 100 percent of those funds. The Regions listed above the "Exchange Counties" receive either CMAQ, RSTP Urban, and/or RSTP Any Area (which is not eligible for exchange). Prior Regions above the "Rural Counties" line that are now under the "Exchange Counties" line are San Benito and Santa Cruz.

⁸ Both Monterey and Santa Barbara are remaining in the "Region" area. Although they both only receive "current" FFY apportionment and OA that may be exchanged, they both have a balance of carry-over RSTP any area funds that are not eligible for the RSTP exchange.

⁹ Based on the September 30, 2013 (FFY 2013) year-end reconciliation (please see September 30, 2013, OA delivery report for details on this amount). This results in an adjustment to the Locals' OA for this FFY (FFY 2014). This amount reduces the Locals' OA balance.

¹⁰ Received agreements from Region(s) for the Local Streets and Roads (LS&R) Statewide Needs Assessment Funding Concurrence.

¹¹ MOU (August 2013) between SACOG and TRPA -- \$440,000 of OA from SACOG to TRPA in FFY 2014. Repayment in FFY 2016.